



FEDERAL FUNDS

DEPARTMENT OF HUMAN SERVICES

SOCIAL SERVICES APPROPRIATIONS SUBCOMMITTEE
STAFF: CLARE TOBIN LENCE

ISSUE BRIEF

SUMMARY

Utah Code Annotated (UCA) [63J-5-201](#) states, “the Legislative Fiscal Analyst shall submit a federal funds request summary for each agency to the legislative appropriations subcommittee responsible for that agency’s budget for review during each annual general session.” Each subcommittee determines whether or not to recommend that “the agency accept the federal funds or participate in the federal program for the fiscal year under consideration.” The Executive Appropriations Committee then makes their determination and directs the Office of the Legislative Fiscal Analyst to include approved federal funds in an appropriations act.

The Department of Human Services (DHS) has requested an increase in federal funds of \$18,274,300 for FY 2019 and total federal funds of \$147,744,300 for FY 2020.

Not included here for review and specific authorization are federal Medicaid funds shown in the DHS budget as Transfers. These funds are exempt from this specific review process as specified in UCA [63J-5-103\(2\)\(a\)](#). For DHS, federal Medicaid funds are first received by the Department of Health, the designated state agency for the Medicaid program, and subsequently transferred to DHS. The DHS FY 2020 budget request includes \$269,242,400 in transfers related to Medicaid. Of this amount, \$261,397,500 -- or 97.1 percent -- is in the Division of Services for People with Disabilities.

This brief includes: 1) Federal Reserves Over Three Months for FY 2019 (Appendix A, pages 14-17); 2) List of Federal Block Grant Uses and How Block Grant Funding is Approved (Appendix B, pages 18-22); and 3) Interim Approval Process for Federal Grants (Appendix C, page 23).

LEGISLATIVE ACTION

1. The Office of the Legislative Fiscal Analyst recommends the subcommittee consider whether to authorize the Department of Human Services to accept the federal funds shown in Tables 3 through 15 (pages 3-13).

Subcommittee Options for Federal Grants

Per UCA 63J-5-201, the subcommittee has the following three options:

1. Recommend that the agency accept the federal funds or participate in the federal program for the fiscal year under consideration.
2. Recommend that the agency not accept the federal funds or participate in the federal program for the fiscal year under consideration.
3. Direct the agency to accept some, but not all of the federal funds listed below.

FY 2019 SUPPLEMENTAL REQUEST OVERVIEW

The department is requesting increased federal grant authorization of \$18,274,300 for FY 2019, over the \$141,873,000 that was approved during the 2018 General Session. This is an increase of 12.9 percent. The amount is comprised of:

1. Division of Aging and Adult Services: \$1,158,900
2. Division of Child and Family Services: \$3,345,500
3. Executive Director Operations: \$1,982,000
4. Office of Recovery Services: \$293,400
5. Division of Services for People with Disabilities: \$145,200
6. Division of Substance Abuse and Mental Health: \$11,349,300

When combined with estimated Medicaid transfers of \$267,191,100, total federal funds would be about half of the DHS FY 2019 budget.

Supplemental Federal Funds Request Summary for State FY 2019

July 1, 2018 through June 30, 2019

Grant Title	Federal Annual Award	Annual Match Requirement					% Pass-Through	New Permanent Staff
		Matching State Funds				Local/Other		
		General Fund	In Kind	Maint. Of Effort	Total State Match			
HUMAN SERVICES TOTALS								
State FY 2019	\$18,274,300	\$2,037,800	\$50,400	\$65,363,108	\$67,451,308	\$271,817	62%	0.00

Table 1

FY 2020 REQUEST OVERVIEW

The department is requesting federal grant authorization totaling \$147,744,300 for FY 2020. This amount is \$5,871,300 over the \$141,873,000 that was approved during the 2018 General Session for FY 2019, an increase of 4.1 percent. The amount is comprised of:

1. Division of Aging and Adult Services: \$12,419,400
2. Division of Child and Family Services: \$62,817,900
3. Executive Director Operations: \$8,377,100
4. Office of Public Guardian: \$40,000
5. Office of Recovery Services: \$25,877,300
6. Division of Services for People with Disabilities: \$1,538,300
7. Division of Substance Abuse and Mental Health: \$36,674,300

When combined with budgeted Medicaid transfers of \$269,242,400, total federal funds would be about half of the DHS FY 2020 budget.

Federal Funds Request Summary for State FY 2020

July 1, 2019 through June 30, 2020

Grant Title	Federal Annual Award	Annual Match Requirement					% Pass-Through	New Permanent Staff
		Matching State Funds				Local/Other		
		General Fund	In Kind	Maint. Of Effort	Total State Match			
HUMAN SERVICES TOTALS								
State FY 2020	\$147,744,300	\$38,736,800	\$0	\$73,617,263	\$112,354,063	\$1,747,250	31%	0.0

Table 2

DIVISION OF AGING AND ADULT SERVICES

The request for FY 2019 is a 9.9 percent increase over the amount initially appropriated for FY 2019. The request for FY 2020 is a 5.7 percent increase over the amount initially appropriated for FY 2019. There are no new grants reflected in Tables 3 and 4 that were not previously authorized by the Legislature during the 2018 General Session.

Supplemental Federal Funds Request Summary for State FY 2019

July 1, 2018 through June 30, 2019

Grant Title	Federal Annual Award	Annual Match Requirement					% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements
		Matching State Funds				Local/Other			
		General Fund	In Kind	Maint. Of Effort	Total State Match				
Aging and Adult Services									
Title III C-2 Home Delivered Meals	\$499,100	\$0	\$0	\$41,592	\$41,592	\$83,183	96%	0.00	The MOE amount is the required state match.
Title III E National Family Caregiver Support	\$221,600	\$0	\$0	\$18,467	\$18,467	\$36,933	100%	0.00	The MOE amount is the required state match.
Title VII Elder Abuse Prevention	\$5,900	\$0	\$0	\$0	\$0	\$0	79%	0.00	
Nutrition Services Incentive Program	\$28,200	\$0	\$0	\$0	\$0	\$0	100%	0.00	
State Health Insurance Information Program	\$139,200	\$0	\$0	\$0	\$0	\$0	68%	0.00	
Senior Medicare Patrol	\$75,700	\$0	\$0	\$0	\$0	\$0	78%	0.00	
Title III B Supportive Services	\$160,200	\$0	\$0	\$13,350	\$13,350	\$26,700	95%	0.00	The MOE amount is the required state match.
Title III D Preventative Health	\$29,000	\$0	\$0	\$0	\$0	\$0	100%	0.00	
Division/Program Totals	\$1,158,900	\$0	\$0	\$73,408	\$73,408	\$146,817	92%	0.00	

Table 3

Federal Funds Request Summary for State FY 2020

July 1, 2019 through June 30, 2020

Grant Title	Federal Annual Award	Annual Match Requirement					% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements
		Matching State Funds				Local/Other			
		General Fund	In Kind	Maint. Of Effort	Total State Match				
Aging and Adult Services									
Social Services Block Grant	\$1,049,700	\$0	\$0	\$0	\$0	\$0	100%	0.00	
Title III B Supportive Services	\$2,213,200	\$0	\$0	\$184,433	\$184,433	\$368,867	95%	0.00	The MOE amount is the required state match.
Title III C-1 Congregate Meals	\$2,811,600	\$0	\$0	\$234,300	\$234,300	\$468,600	88%	0.00	The MOE amount is the required state match.
Title III C-2 Home Delivered Meals	\$2,127,100	\$0	\$0	\$177,258	\$177,258	\$354,517	96%	0.00	The MOE amount is the required state match.
Title III D Preventative Health	\$147,400	\$0	\$0	\$0	\$0	\$0	100%	0.00	
Title III E National Family Caregiver Support	\$1,237,600	\$0	\$0	\$103,133	\$103,133	\$206,267	100%	0.00	The MOE amount is the required state match.
Title V Senior Community Service Employment Program	\$530,300	\$0	\$0	\$0	\$0	\$59,000	93%	0.00	
Title VII Elder Abuse Prevention	\$32,400	\$0	\$0	\$0	\$0	\$0	79%	0.00	
Title VII Ombudsman Services	\$121,300	\$0	\$0	\$0	\$0	\$0	82%	0.00	
Nutrition Services Incentive Program	\$1,400,000	\$0	\$0	\$0	\$0	\$0	100%	0.00	
State Health Insurance Information Program	\$355,000	\$0	\$0	\$0	\$0	\$0	68%	0.00	
Medicare Improvement for Patients & Providers Act	\$141,700	\$0	\$0	\$0	\$0	\$0	95%	0.00	
Senior Medicare Patrol	\$252,100	\$0	\$0	\$0	\$0	\$0	78%	0.00	
Division/Program Totals	\$12,419,400	\$0	\$0	\$699,125	\$699,125	\$1,457,250	94%	0.00	

Table 4

DIVISION OF CHILD AND FAMILY SERVICES

The request for FY 2019 is a 5.3 percent increase over the amount initially appropriated for FY 2019. The request for FY 2020 is a 0.2 percent increase over the amount initially appropriated for FY 2019. There is one new grant, Kinship Navigator, in Table 5 which was approved by the Governor during the 2018 Interim. This grant is one-time and will end in FY 2019.

Supplemental Federal Funds Request Summary for State FY 2019

July 1, 2018 through June 30, 2019

Grant Title	Federal Annual Award	Annual Match Requirement					% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements
		Matching State Funds				Local/Other			
		General Fund	In Kind	Maint. Of Effort	Total State Match				
Child and Family Services									
Title IV-E AFDC Foster Care	\$1,528,300	\$1,200,800	\$0	\$0	\$1,200,800	\$0	0%	0.00	
Independent Living Program	\$10,100	\$2,500	\$0	\$0	\$2,500	\$0	0%	0.00	20% State Match required; MOE of \$175,000 Gen. Fund
Title IV-E AFDC Adoption Assistance	\$1,328,800	\$654,500	\$0	\$0	\$654,500	\$0	0%	0.00	
Education & Training Voucher	\$4,500	\$1,100	\$0	\$0	\$1,100	\$0	0%	0.00	
Family Violence Prevention Services Act	\$31,000	\$0	\$0	\$0	\$0	\$0	0%	0.00	No State Match required
Child Abuse Prevention Treatment Act	\$192,100	\$0	\$0	\$0	\$0	\$0	0%	0.00	No State Match required
Title XX TANF	\$5,000	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Kinship Navigator	\$245,700	\$0	\$0	\$0	\$0	\$0	0%	0.00	No State Match required
Division/Program Totals	\$3,345,500	\$1,858,900	\$0	\$0	\$1,858,900	\$0	0%	0.00	

Table 5

Federal Funds Request Summary for State FY 2020

July 1, 2019 through June 30, 2020

Grant Title	Federal Annual Award	Annual Match Requirement					% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements
		Matching State Funds				Local/Other			
		General Fund	In Kind	Maint. Of Effort	Total State Match				
Child and Family Services									
Title XX SSBG	\$9,100,200	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Title IV-B Child Welfare Services	\$3,686,700	\$1,228,900	\$0	\$0	\$1,228,900	\$0	0%	0.00	25% State Match required.
Title IV-E AFDC Foster Care	\$25,410,600	\$19,965,500	\$0	\$0	\$19,965,500	\$0	0%	0.00	
Community Based Child Abuse Prevention	\$377,600	\$94,400	\$0	\$0	\$94,400	\$0	0%	0.00	20% State Match required
Independent Living Program	\$831,800	\$208,000	\$0	\$0	\$208,000	\$0	0%	0.00	20% State Match required; MOE of \$175,000 Gen. Fund.
Title IV-E AFDC Adoption Assistance	\$11,472,100	\$5,650,400	\$0	\$0	\$5,650,400	\$0	0%	0.00	
Education & Training Voucher	\$279,300	\$69,800	\$0	\$175,000	\$244,800	\$0	0%	0.00	20% State Match required.
Family Violence Prevention Services Act	\$1,276,600	\$0	\$0	\$0	\$0	\$0	0%	0.00	No State Match required
Title XX TANF	\$7,535,000	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Child Abuse Prevention Treatment Act	\$516,800	\$0	\$0	\$0	\$0	\$0	0%	0.00	No State Match required
Adoption Incentive Grant	\$500,000	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Promoting Safe and Stable Families	\$1,722,600	\$574,200	\$0	\$0	\$574,200	\$0	0%	0.00	25% State Match required.
Promoting Safe and Stable Families - Caseworker Visits	\$108,600	\$36,200	\$0	\$0	\$36,200	\$0	0%	0.00	25% State Match required.
Division/Program Totals	\$62,817,900	\$27,827,400	\$0	\$175,000	\$28,002,400	\$0	0%	0.00	

Table 6

EXECUTIVE DIRECTOR OPERATIONS

The request for FY 2019 is a 22.5 percent increase over the amount initially appropriated for FY 2019. The request for FY 2020 is a 4.7 percent decrease from the amount initially appropriated for FY 2019. There are no new grants reflected in Tables 7 and 8 that were not previously authorized by the Legislature during the 2018 General Session. One grant is one-time and will end in FY 2019: UT Transition Youth Empowered to Succeed.

Supplemental Federal Funds Request Summary for State FY 2019

July 1, 2018 through June 30, 2019

Grant Title	Federal Annual Award	Annual Match Requirement					% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements
		Matching State Funds				Local/Other			
		General Fund	In Kind	Maint. Of Effort	Total State Match				
Executive Director's Office									
Social Services Block Grant	\$1,732,000	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Title IV-E Foster Care	\$25,900	\$25,900	\$0	\$0	\$25,900	\$0	0%	0.00	
Mental Health Homeless Block Grant	\$300	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Title IV-E Adoption Assistance	\$1,900	\$1,900	\$0	\$0	\$1,900	\$0	100%	0.00	Pass through to local governments
Title XX Local Discretionary Social Svcs Block Grant	\$40,300	\$0	\$0	\$0	\$0	\$0	100%	0.00	
Indirect	\$163,400	\$0	\$0	\$0	\$0	\$0	0%	0.00	
SPF/Partnerships for Success	\$2,900	\$0	\$0	\$0	\$0	\$0	0%	0.00	
UT Transition Youth Empowered to Succeed	\$10,700	\$0	\$0	\$0	\$0	\$0	0%	0.00	
UT Supported Employment Transformation Proj	\$200	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Adult Drug Court Discretion Program	\$100	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Opioid Crisis Grants	\$4,300	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Division/Program Totals	\$1,982,000	\$27,800	\$0	\$0	\$27,800	\$0	2%	0.00	

Table 7

Federal Funds Request Summary for State FY 2020

July 1, 2019 through June 30, 2020

Grant Title	Federal Annual Award	Annual Match Requirement					% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements
		Matching State Funds				Local/Other			
		General Fund	In Kind	Maint. Of Effort	Total State Match				
Executive Director's Office									
UT Transition Youth Empowered to Succeed	\$518,000	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Federal DHS Dev Disability Grants	\$616,600	\$0	\$0	\$0	\$0	\$0	26%	0.00	
Utah Opioid State Targeted Response Grant	\$4,300	\$0	\$0	\$0	\$0	\$0	0%	0.00	Pass through to non-profit businesses or agencies.
Adult Drug Court Discretion Program	\$100	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Cooperative Agreement to Benefit Homeless Individuals	\$2,300	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Substance Abuse Prevention Treatment Block Grant	\$4,400	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Mental Health Homeless Block Grant	\$300	\$0	\$0	\$0	\$0	\$0	0%	0.00	
UT Supported Employment Transformation Project	\$700	\$0	\$0	\$0	\$0	\$0	0%	0.00	
SPF/Partnerships for Success	\$9,100	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Federal DHS Title IV-B Child Welfare	\$160,000	\$42,000	\$0	\$0	\$42,000	\$0	0%	0.00	
Federal DHS Title IV-D Child Support	\$434,600	\$48,000	\$0	\$0	\$48,000	\$0	0%	0.00	
Federal DHS Title IV-E Foster Care	\$881,100	\$881,100	\$0	\$0	\$881,100	\$0	0%	0.00	
Federal DHS Title IV-E Adoptions	\$33,900	\$33,900	\$0	\$0	\$33,900	\$0	0%	0.00	
Title XX Local Discretionary Social Services Block Grant	\$1,140,700	\$0	\$0	\$0	\$0	\$0	100%	0.00	Pass through to local governments.
Title XX Social Services Block Grant	\$4,396,100	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Indirect	\$174,900	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Division/Program Totals	\$8,377,100	\$1,005,000	\$0	\$0	\$1,005,000	\$0	16%	0.00	

Table 8

OFFICE OF PUBLIC GUARDIAN

There are no new federal funds requested for FY 2019. The request for FY 2020 is a 2.0 percent decrease from the amount initially appropriated for FY 2018. There are no new grants reflected in Table 9 that were not previously authorized by the Legislature during the 2018 General Session.

Federal Funds Request Summary for State FY 2020

July 1, 2019 through June 30, 2020

Grant Title	Federal Annual Award	Annual Match Requirement					% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements
		Matching State Funds				Local/Other			
		General Fund	In Kind	Maint. Of Effort	Total State Match				
Office of Public Guardian									
Social Services Block Grant	\$40,000	\$0	\$0	\$0	\$0	\$0	100%	0.00	
Division/Program Totals	\$40,000	\$0	\$0	\$0	\$0	\$0	100%	0.00	

Table 9

OFFICE OF RECOVERY SERVICES

The request for FY 2019 is a 1.1 percent increase over the amount initially appropriated for FY 2019. The request for FY 2020 is a 1.2 percent increase over the amount initially appropriated for FY 2019. There are no new grants reflected in Tables 10 and 11 that were not previously authorized by the Legislature during the 2018 General Session.

Supplemental Federal Funds Request Summary for State FY 2019

July 1, 2018 through June 30, 2019

Grant Title	Federal Annual Award	Annual Match Requirement					% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements
		Matching State Funds				Local/Other			
		General Fund	In Kind	Maint. Of Effort	Total State Match				
Office of Recovery Services									
Title IV-D Child Support Enforcement	\$293,400	\$151,100	\$0	\$0	\$151,100	\$0	0%	0.00	
Division/Program Totals	\$293,400	\$151,100	\$0	\$0	\$151,100	\$0	0%	0.00	

Table 10

Federal Funds Request Summary for State FY 2020

July 1, 2019 through June 30, 2020

Grant Title	Federal Annual Award	Annual Match Requirement					% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements
		Matching State Funds				Local/Other			
		General Fund	In Kind	Maint. Of Effort	Total State Match				
Office of Recovery Services									
Title IV-D Child Support Enforcement	\$19,226,200	\$9,904,400	\$0	\$7,453,438	\$17,357,838	\$0	0%	0.00	
Title IV-D Child Support Enforcement Incentive	\$6,651,100	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Division/Program Totals	\$25,877,300	\$9,904,400	\$0	\$7,453,438	\$17,357,838	\$0	0%	0.00	

Table 11

DIVISION OF SERVICES FOR PEOPLE WITH DISABILITIES

The request for FY 2019 is a 34.9 percent increase over the amount initially appropriated for FY 2019. The request for FY 2020 is a 2.6 percent decrease from the amount initially appropriated for FY 2019. There is one new grant, Improving State-Level Prevention, Training, and Service Response for Female Victims with Disabilities, in Tables 12 and 13 which was approved by the Governor during the 2018 Interim.

Supplemental Federal Funds Request Summary for State FY 2019

July 1, 2018 through June 30, 2019

Grant Title	Federal Annual Award	Annual Match Requirement					% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements
		Matching State Funds				Local/Other			
		General Fund	In Kind	Maint. Of Effort	Total State Match				
Services for People with Disabilities									
Improving State-Level Prevention, Training, and Service Response for Female Victims with Disabilities	\$145,200	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Division/Program Totals	\$145,200	\$0	\$0	\$0	\$0	\$0	0%	0.00	

Table 12

Federal Funds Request Summary for State FY 2020

July 1, 2019 through June 30, 2020

Grant Title	Federal Annual Award	Annual Match Requirement					% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements
		Matching State Funds				Local/Other			
		General Fund	In Kind	Maint. Of Effort	Total State Match				
Services for People with Disabilities									
Social Services Block Grant	\$1,143,100	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Utah School to Work Interagency Transition Initiative	\$250,000	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Improving State-Level Prevention, Training, and Service Response for Female Victims with Disabilities	\$145,200	\$0	\$0	\$0	\$0	\$0	0%	0.00	
Division/Program Totals	\$1,538,300	\$0	\$0	\$0	\$0	\$0	0%	0.00	

Table 13

DIVISION OF SUBSTANCE ABUSE AND MENTAL HEALTH

The request for FY 2019 is a 34.9 percent increase over the amount initially appropriated for FY 2019. The request for FY 2020 is a 12.9 percent increase over the amount initially appropriated for FY 2019. There is one new grant, the State Opioid Response Grant, in Tables 14 and 15 which was approved by the Executive Appropriations Committee during the 2018 Interim.

Supplemental Federal Funds Request Summary for State FY 2019

July 1, 2018 through June 30, 2019

Grant Title	Federal Annual Award	Annual Match Requirement					% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements
		Matching State Funds				Local/Other			
		General Fund	In Kind	Maint. Of Effort	Total State Match				
Substance Abuse and Mental Health									
Utah Zero Suicide Project	\$93,900	\$0	\$50,400	\$0	\$50,400	\$0	94%	0.00	Local Authorities Provide Services. In-Kind from Admin Support
Behavioral Health Workforce Education & Training	\$209,300	\$0	\$0	\$0	\$0	\$0	0%	0.00	Behavioral Peer Support Training and Education Services
Assisted Outpatient Treatment	\$28,100	\$0	\$0	\$0	\$0	\$0	80%	0.00	Local Authorities Provide Services
Mental Health Block Grant	\$1,836,700	\$0	\$0	\$41,572,300	\$41,572,300	\$0	76%	0.00	Required Maintenance of Effort is made up of existing budget for mental health services. Pass thru to local mental health authorities.
Substance Abuse Prevention & Treatment	\$695,200	\$0	\$0	\$23,717,400	\$23,717,400	\$0	91%	0.00	
Adult Drug Court Discretionary Programs	\$252,500	\$0	\$0	\$0	\$0	\$125,000	91%	0.00	Local Substance Abuse Authorities.
Supported Employment	\$390,300	\$0	\$0	\$0	\$0	\$0	80%	0.00	Local Authorities Provide Services
State Opioid Response Grant	\$7,843,300	\$0	\$0	\$0	\$0	\$0	97%	0.00	
Division/Program Totals	\$11,349,300	\$0	\$50,400	\$65,289,700	\$65,340,100	\$125,000	91%	0.00	

Table 14

Federal Funds Request Summary for State FY 2020

July 1, 2019 through June 30, 2020

Grant Title	Federal Annual Award	Annual Match Requirement					% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements
		Matching State Funds				Local/Other			
		General Fund	In Kind	Maint. Of Effort	Total State Match				
Substance Abuse and Mental Health									
Mental Health Block Grant	\$6,009,100	\$0	\$0	\$41,572,300	\$41,572,300	\$0	76%	0.00	Required Maintenance of Effort is made up of existing budget for mental health services. Pass thru to local mental health authorities.
Substance Abuse Prevention & Treatment	\$18,249,400	\$0	\$0	\$23,717,400	\$23,717,400	\$0	91%	0.00	
Projects for Assistance in Transition from Homelessness (PATH)	\$591,300	\$0	\$0	\$0	\$0	\$165,000	100%	0.00	
Supported Employment	\$800,000	\$0	\$0	\$0	\$0	\$0	80%	0.00	Local Authorities Provide Services
State Youth Treatment Implementation	\$800,000	\$0	\$0	\$0	\$0	\$0	77%	0.00	Implementation Grant for State Youth Treatment Improvement
Strategic Prevention Framework - Prescription Drugs (SPF-Rx)	\$371,600	\$0	\$0	\$0	\$0	\$0	92%	0.00	Recipients of SPF-Rx grant. Local Substance Abuse Authorities.
Utah Zero Suicide Project	\$471,000	\$0	\$0	\$0	\$0	\$0	94%	0.00	Local Authorities Provide Services. In-Kind from Admin Support
Behavioral Health Workforce Education & Training	\$288,600	\$0	\$0	\$0	\$0	\$0	0%	0.00	Behavioral Peer Support Training and Education Services
Adult Drug Court Discretionary Programs	\$500,000	\$0	\$0	\$0	\$0	\$125,000	91%	0.00	Local Substance Abuse Authorities.
Assisted Outpatient Treatment	\$750,000	\$0	\$0	\$0	\$0	\$0	80%	0.00	Local Authorities Provide Services
State Opioid Response Grant	\$7,843,300	\$0	\$0	\$0	\$0	\$0	97%	0.00	Local Authorities Provide Services
Division/Program Totals	\$36,674,300	\$0	\$0	\$65,289,700	\$65,289,700	\$290,000	89%	0.00	

Table 15

APPENDIX A: FEDERAL RESERVES OVER THREE MONTHS FOR FY 2019

Table 16 below shows \$40.4 million in spending authority reserves for federal grants. Reserves are funds that have been approved by the federal government, but for which the department has not yet requested spending authority from the Legislature. UCA 63J-5-205 also allows agencies to spend up to 25 percent over their federal funds appropriation -- by the line item -- without legislative approval, subject to certain restrictions.

Federal Grants \$200,000 or Greater - Amount of Anticipated Reserves*Department of Human Services*

Division	Federal Grant Name	Cumulative Grant Authority through 9/30/18	Estimate of Grant Monies to be Received and Available as of 6/30/19	Authority through FY 2019	Amount in FY 2019 Budget Request	Reserve Spending Authority	# of Months of Reserves	Explanation of Reserves Over Three Months From Agency
EDO, DSAMH	Adult Drug Court Discretionary Programs	1,252,500	0	1,252,500	752,600	499,900	7	Grant includes multi-year funding. (This grant has one award but is for services to be rendered over 3 years). The spending reserve represents the funds expected to be used for services in FY2020.
DAAS	Title V Senior Community Service Employment Program	621,000	0	621,000	530,300	90,700	2	
DAAS	Title III B Supportive Services	1,180,600	2,461,500	3,642,100	2,259,700	1,382,400	7	Awards are for 10/1/2018 - 9/30/2019, with a 2 year extension (3 year funds).
DAAS	Title III C-1 Congregate Meals	2,098,400	2,955,800	5,054,200	2,842,300	2,211,900	9	Awards are for 10/1/2018 - 9/30/2019, with a 2 year extension (3 year funds).
DAAS	Title III C-2 Home Delivered Meals	960,700	1,630,300	2,591,000	2,049,900	541,100	3	
DAAS	Senior Medicare Patrol	294,700	252,300	547,000	252,100	294,900	14	Awarded for 10/1/2018 through 9/30/2019, with 1 year extension to 9/30/2020 (2 year funds).
DAAS	Title III E National Family Caregiver Support	969,100	1,094,900	2,064,000	1,237,600	826,400	8	Awards are for 10/1/2018 - 9/30/2019, with a 2 year extension (3 year funds).
DAAS	Nutrition Services Incentive Program	621,700	1,190,600	1,812,300	1,400,000	412,300	3	
DSAMH	Projects for Assistance in Transition from Homelessness (PATH)	591,300	0	591,300	591,300	0	0	
EDO, DSAMH	Strategic Prevention Framework - Partnerships for Success II (SPF-PFS II)	563,500	0	563,500	432,500	131,000	3	
DSAMH	Strategic Prevention Framework - Partnerships for Success 2018 (SPF-PFS 2018)	2,260,000	0	2,260,000	0	2,260,000		Grant was awarded after the budget was submitted. Anticipate state authorization to spend an additional \$1,695,000 in FY2019 over the Request level. This will result in a reserve of three months to use in state fiscal year 2020. Funds have planned uses detailed in the budget approved by the granting authority.
EDO, DSAMH	Supported Employment	1,390,200	0	1,390,200	1,191,000	199,200	2	
DSAMH	State Youth Treatment Implementation	857,700	0	857,700	657,700	200,000	3	
DSAMH	Strategic Prevention Framework - Prescription Drugs (SPF-Rx)	536,300	0	536,300	355,500	180,800	6	Grant carryover of \$119,300 was authorized after the budget was submitted. Anticipate state authorization to spend these additional funds in FY2019. This will result in a reserve of approximately one month. Funds have planned uses detailed in the budget approved by the granting authority.

FEDERAL FUNDS - DEPARTMENT OF HUMAN SERVICES

Division	Federal Grant Name	Cumulative Grant Authority through 9/30/18	Estimate of Grant Monies to be Received and Available as of 6/30/19	Authority through FY 2019	Amount in FY 2019 Budget Request	Reserve Spending Authority	# of Months of Reserves	Explanation of Reserves Over Three Months From Agency
DSAMH	Utah Zero Suicide Project	682,500	0	682,500	564,900	117,600	2	
DSAMH	Behavioral Health Workforce Education & Training	545,900	0	545,900	497,900	48,000	1	
EDO	UT Transition Youth Empowered to Succeed	2,566,700	-1,000,000	1,566,700	1,030,300	536,400	6	Funds anticipated to be fully spent by the end of the grant in 9/29/2019.
DCFS	Kinship Navigator	245,700	0	245,700	245,700	0	0	
DCFS	Promoting Safe and Stable Families	1,722,600	1,722,600	3,445,200	1,722,600	1,722,600	12	Funds from this grant are planned for expenditure in the State fiscal year immediately following the year in which Federal funds are authorized. This timing supports effective fiscal and program management, and allows time for contracting. These funds are limited to family preservation, family support, time-limited reunification, and adoption promotion and support services.
DCFS	Community Based Child Abuse Prevention	732,800	362,000	1,094,800	377,600	717,200	22	Funds from this grant are planned for expenditure in the State fiscal year immediately following the year in which Federal funds are authorized. This timing supports budgeting for effective fiscal and program management, including time for contracting. These funds are limited to child abuse prevention activities.
DCFS	Education & Training Voucher	279,300	279,300	558,600	279,300	279,300	12	Funds from this grant are planned for expenditure in the State fiscal year immediately following the year in which Federal funds are authorized. This timing supports budgeting for effective fiscal and program management, including time for contracting. These funds are limited for post-secondary education for former foster youth and certain adoptive youth.
DCFS	Adoption Incentive Grant	1,316,000	289,000	1,605,000	945,900	659,100	8	The amount of this funding can vary significantly from year to year. There is not a typical monthly cost for how these funds are used. For more effective fiscal and program management, expenditures of these funds are planned after the federal fiscal year award is received so the amount to be budgeted is known. Funds from each year's grant award are planned to begin to be expended in the State fiscal year immediately following the year in which Federal funds are authorized. The Federal government allows up to 3 years to spend each year's award, so due to uneven award amounts, a portion of funds may be carried into an additional fiscal year to even out budgeting.

FEDERAL FUNDS - DEPARTMENT OF HUMAN SERVICES

Division	Federal Grant Name	Cumulative Grant Authority through 9/30/18	Estimate of Grant Monies to be Received and Available as of 6/30/19	Authority through FY 2019	Amount in FY 2019 Budget Request	Reserve Spending Authority	# of Months of Reserves	Explanation of Reserves Over Three Months From Agency
DSAMH	Utah Zero Suicide Project	682,500	0	682,500	564,900	117,600	2	
DSAMH	Behavioral Health Workforce Education & Training	545,900	0	545,900	497,900	48,000	1	
EDO	UT Transition Youth Empowered to Succeed	2,566,700	-1,000,000	1,566,700	1,030,300	536,400	6	Funds anticipated to be fully spent by the end of the grant in 9/29/2019.
DCFS	Kinship Navigator	245,700	0	245,700	245,700	0	0	
DSPD	Utah School to Work Interagency Transition Initiative	420,500	0	420,500	250,000	170,500	8	During the first grant year, DSPD had difficulty getting the Provider contracts in place, and on the second year the grant amendment was approved by HHS only last August 2018. The Grant manager is projecting to spend most if not all of the authorized budget this fiscal year.
EDO, DCFS	Title IV-B Child Welfare Services	3,070,370	2,829,700	5,900,100	3,846,700	2,053,400	6	Lag in grant spending is beneficial for management.
DHS	Title XX, includes local discretionary SSBG	13,915,100	11,525,400	25,440,500	19,283,900	6,156,600	3	Lag in grant spending is beneficial for management. Includes funding for DWS. DWS funding is for food program.
DCFS	Child Abuse Prevention Treatment Act	1,117,300	324,000	1,441,300	516,800	924,500	21	Funds from this grant are expended in the State fiscal year following the year in which Federal funds are authorized. Timing expenditures in this way supports effective fiscal and program management, and allows time for contracting. The federal government allotted a one-time funding increase with the intention that it be used over three years to help implement provisions of the Family First Act. The division is using part of the award to work with the U of U in developing a plan of Safe Care Evaluation as a part of implementing the FFPSA. These funds are for child protective services system improvements and training.
DCFS	Family Violence Prevention Services Act	1,268,700	1,268,700	2,537,400	1,276,600	1,260,800	11	Funds from this grant are planned for expenditure in the State fiscal year immediately following the year in which Federal funds are authorized. This timing supports budgeting for effective fiscal and program management, including time for contracting. These funds are allotted for domestic violence shelters that provide services for the safety of abused parents and their children.
DCFS	Independent Living Program	831,800	831,800	1,663,600	831,800	831,800	12	Funds from this grant are planned for expenditure in the State fiscal year immediately following the year in which Federal funds are authorized. This timing supports budgeting for effective fiscal and program management, including time for contracting. These funds are limited for youth preparing to transition to adult living from foster care.
DAAS	State Health Insurance Information Program	382,400	342,500	724,900	515,000	209,900	4	Awarded for 4/1/2018 through 3/31/2019, with 6 month extension to 9/30/2019.

FEDERAL FUNDS - DEPARTMENT OF HUMAN SERVICES

Division	Federal Grant Name	Cumulative Grant Authority through 9/30/18	Estimate of Grant Monies to be Received and Available as of 6/30/19	Authority through FY 2019	Amount in FY 2019 Budget Request	Reserve Spending Authority	# of Months of Reserves	Explanation of Reserves Over Three Months From Agency
DSAMH	Utah Zero Suicide Project	682,500	0	682,500	564,900	117,600	2	
DSAMH	Behavioral Health Workforce Education & Training	545,900	0	545,900	497,900	48,000	1	
EDO	UT Transition Youth Empowered to Succeed	2,566,700	-1,000,000	1,566,700	1,030,300	536,400	6	Funds anticipated to be fully spent by the end of the grant in 9/29/2019.
DCFS	Kinship Navigator	245,700	0	245,700	245,700	0	0	
DSAMH	Utah Opioid State Targeted Response Grant	5,047,200	0	5,047,200	5,047,200	0	0	
EDO, DSAMH	State Opioid Response Grant	7,958,600	0	7,958,600	7,847,600	111,000	0	
EDO, DSAMH	Mental Health Block Grant	5,153,800	5,462,800	10,616,600	6,009,400	4,607,200	9	Multi-Year funding. Funds have planned uses detailed in the budget approved by the granting authority.
EDO	Federal DHS Dev Dissability Grants	1,192,100	633,700	1,825,800	617,000	1,208,800	23	The program is funded totally with Federal funds. The grant funds is intended to be used for program purposes.
EDO, DSAMH	Substance Abuse Prevention & Treatment	10,930,600	16,590,400	27,521,000	18,253,800	9,267,200	6	Multi-Year funding. Funds have planned uses detailed in the budget approved by the granting authority.
EDO	System of Care (SOC)	681,700	0	681,700	681,700	0	0	
DSAMH	Assisted Outpatient Treatment	1,087,800	25,000	1,112,800	778,100	334,700	5	Grant carryover of \$125,800 and supplemental grant award of \$25,000 were realized after the budget was submitted. Anticipate authorization to spend these additional funds in FY2019. This will result in a reserve of approximately two months. Funds have planned uses detailed in the budget approved by the granting authority.
Totals				126,419,500	85,972,300	40,447,200	5	

Table 16

APPENDIX B: FEDERAL BLOCK GRANT USES AND HOW BLOCK GRANT FUNDING IS APPROVED

The following tables show the agency-proposed uses of their three major block grants: 1) Social Services Block Grant (Table 17); Substance Abuse Prevention and Treatment Block Grant (Table 18); and the Mental Health Block Grant (Table 19). Table 20 indicates the process to approve spending plans for these three block grants.

Social Services Block Grant

	FY18 Actual	FY19 Budget	FY20 Budget
Executive Director Operations (EDO)			
<i>Executive Director</i>	-	1,765,000	2,654,000
<i>Legal Affairs</i>	120,000	90,100	89,200
<i>Information Technology</i>	611,500	524,600	522,600
<i>Local Discretionary</i>	1,126,800	1,181,000	1,140,700
<i>Services Review</i>	160,000	351,000	331,500
<i>Office of Licensing</i>	850,600	776,400	798,800
EDO Total	\$ 2,868,900	\$ 4,688,100	\$ 5,536,800
Division of Substance Abuse and Mental Health (DSAMH)			
<i>Community Mental Health Services</i>	162,900	-	-
DSAMH Total	\$ 162,900	\$ -	\$ -
Office of Public Guardian (OPG)			
<i>Office of Public Guardian</i>	40,000	40,000	40,000
OPG Total	\$ 40,000	\$ 40,000	\$ 40,000
Division of Services for People with Disabilities (DSPD)			
<i>Administration</i>	-	100,000	100,000
<i>Non Waiver Services</i>	1,100,000	1,043,100	1,043,100
DSPD Total	\$ 1,100,000	\$ 1,143,100	\$ 1,143,100
Division of Child and Family Services (DCFS)			
<i>Administration</i>	0	75,000	75,000
<i>Service Delivery</i>	6,140,400	7,159,900	4,565,000
<i>In-Home Services</i>	218,300	619,500	218,300
<i>Out-of-Home Services</i>	509,900	509,900	509,900
<i>Facility Based Services</i>	991,400	995,300	991,400
<i>Special Needs</i>	910,600	910,600	910,600
<i>Domestic Violence</i>	0	1,350,000	1,350,000
<i>Child Welfare MIS</i>	280,000	480,000	480,000
DCFS Total	\$ 9,050,600	\$ 12,100,200	\$ 9,100,200
Division of Aging and Adult Services (DAAS)			
<i>Aging Alternatives</i>	1,049,700	1,049,700	1,049,700
	\$ 1,049,700	\$ 1,049,700	\$ 1,049,700
DHS Totals without JJS	\$ 14,272,100	\$ 19,021,100	\$ 16,869,800

Table 17

SAPT Block Grant

Description	FY18 Actual	FY19 Budget	FY20 Budget
KBA			
Administration	814,000	912,500	912,500
KBA Total	814,000	912,500	912,500
KCC			
Prevention Training Contracts			
Substance Abuse Fall Conference	3,400	3,600	3,600
Prevention workforce training (SAPST)	58,800	62,600	62,600
Subtotal	62,200	66,200	66,200
Treatment Training Contracts			
Substance Abuse Fall Conference	75,800	76,000	76,000
EBP Training (Adolescent, , Trauma, MRT, ASAM)	99,300	100,000	100,000
Social Research Institute	-	207,600	207,600
Conference sponsorships	30,000	30,000	30,000
Subtotal	205,100	413,600	413,600
State Prevention and Early Intervention			
Communities that Care (CTC) Coalitions	27,600	383,200	383,200
Prevention Dimensions - USOE	7,800	-	-
Statewide Epidemiological Outcomes Workgroup	-	60,000	60,000
SHARP Survey	45,800	230,000	230,000
Prevention of Harm-Naloxone	31,500	300,000	300,000
Subtotal	112,700	973,200	973,200
State Treatment and Recovery Supports			
Parolee Access to Recovery	205,600	205,600	205,600
Operation Rio Grande	558,500	-	-
Contract with USARA for Recovery Support Services	102,000	105,000	105,000
Other	89,300	128,700	128,700
Subtotal	955,400	439,300	439,300
KCC Total	1,335,400	1,892,300	1,892,300
KCD			
Prevention and Early Intervention			
Prevention Pass-through to Local Authorities	4,234,600	4,345,100	4,345,100
Subtotal	4,234,600	4,345,100	4,345,100
Treatment			
General Treatment Pass-through to Local Authorities	6,451,800	7,468,700	7,468,700
Women's Treatment to Local Authorities	3,322,700	2,286,500	2,286,500
Withdrawal management	-	558,600	558,600
Subtotal	9,774,500	10,313,800	10,313,800
KCD Total	14,009,100	14,658,900	14,658,900
KDB			
Treatment			
Drug Courts - Allocation to Local Substance Abuse Authorities	817,400	667,700	667,700
Drug Courts -Certification monitoring	40,000	40,000	40,000
Other	73,300	78,000	78,000
Subtotal	930,700	785,700	785,700
KDB Total	930,700	785,700	785,700
Total	17,089,200	18,249,400	18,249,400

Table 18

MHBG Block Grant

Description	FY18 Actual	FY19 Budget	FY20 Budget
KBA			
Administration	186,300	300,500	300,500
KBA Total	186,300	300,500	300,500
KBC			
Training			
Mental Health Conference/Sponsorships	56,800	93,000	93,000
Training Contracts	166,300	200,000	200,000
Subtotal	223,100	293,000	293,000
Prevention and Early Intervention			
Mental Health Promotion / Mental Illness Prevention Services	374,900	271,800	271,800
Family Resource Facilitators Statewide	158,800	239,800	239,800
Family Mentors	209,900	316,900	316,900
Statewide Crisis Service	140,000	140,000	140,000
Federal Early Intervention (5% FY16) (10% FY17)	599,500	968,300	968,300
Screening for Mental Health	14,300	20,000	20,000
Other	10,000	-	-
	1,507,400	1,956,800	1,956,800
Recovery Support & Treatment			
Consumer Advocate Statewide	93,300	90,000	90,000
Outcome Questionnaire Services	-	73,900	77,600
UBHPAC Expense (Stipends)	13,200	40,000	40,000
JRI Research	2,500	-	-
Subtotal	109,000	203,900	207,600
Miscellaneous			
Other Support Costs - Travel, Printing, Websites	95,000	100,000	96,300
Subtotal	95,000	100,000	96,300
KBC Total	1,934,500	2,553,700	2,553,700
KBD			
Treatment			
Adult Pass-through to Local Authorities	1,325,600	2,257,600	2,257,600
Children Pass-through to Local Authorities	521,000	887,300	887,300
Children's Outplacement Safety Net	0	10,000	10,000
Subtotal	1,846,600	3,154,900	3,154,900
KBD Total	1,846,600	3,154,900	3,154,900
Total	3,967,400	6,009,100	6,009,100

Table 19

Federal Block Grant Approval Process

Block Grant	Allowable Uses	Process to Approve Spending Plans	How and Why Have Spending Priorities Changed Over the Last Five Years?
Social Services Block Grant	SSBG is allowed for support and delivery of social services within grant restrictions.	Costs charged to the grant must be allowable. Spending decisions are determined by need, plan, and available resources. The Utah Department of Human Services (Department) budget is approved through the State agency budgeting process. See Title 63J. The budgeting process includes Legislative review, appropriation of funds, and the opportunity for public comment. Department responsibility includes the expectation to administer the Social Services Block Grant (62A-1-114). The Department provides oversight of the grant and Department decisions impact grant spending. The Department submits a pre-expenditure report to the US Department of Health and Human Services. (See 42 USC 1397c.) SSBG funds are awarded to the State based on population allocation. The award is impacted by Federal action, such as sequestration. Funds are to be used as allowed by the grant. For the Federal site regarding Social Services Block Grant, see http://www.acf.hhs.gov/ocs/programs/ssbg For the Department site involving block grant public notice information, see http://hsofo.utah.gov/public-notices .	See attached SSBG sheet.
Substance Abuse - Prevention and Treatment	SAPT block grant funds may be used at a state's discretion to achieve state objectives except for certain requirements including: 1) not less than 20 percent of the funds can be spent for education, counseling, and risk-reduction programs for individuals who do not require treatment for substance abuse, 2) not less than 5 percent of the grant can be spent to increase (relative to fiscal year 1994) the availability of treatment services designed for pregnant women and women with dependent children, and 3) states will provide, directly or through arrangements	DSAMH applies for the Substance Abuse and Mental Health block grants in a combined application now using the online portal WebGAS and the grant is set aside for States (it is not a competitive bid or a discretionary grant). Costs charged to the grant must be allowable. Spending decisions are determined by need, plan, and available resources. These block grants are noncompetitive, formula grants mandated by the U.S. Congress. Eligible entities must submit an annual application to demonstrate statutory and regulatory compliance in order to receive the formula-based funding. Hundreds of work hours by DSAMH staff, the Planning Council and others go into creating and reviewing the support and plans that are submitted to Substance Abuse and Mental Health Services Administration (SAMHSA) electronically. SAMHSA responds to our request with questions and suggestions, and there's a back and forth with our SAMHSA contact until the final version is approved by SAMHSA. This process is	Spending plans have remained relatively constant with some variation based on a number of factors including the size of the grants, increases or decreases in state general fund and timing differences. The changes you may notice from year to year are more of a product of Federal Fiscal years not lining up with the State Fiscal Year. This creates timing differences where expenditures can be pulled or pushed to adjoining state fiscal years creating an impression of differences. No discretionary spending was listed because the grant specifies where the money must be spent once approved; there is no discretion in the areas in which it's spent or deviating from the plan unless prior written approval is obtained from SAMHSA.

	with other public or nonprofit entities, tuberculosis services for substance abusers, and those individuals at risk for the human immunodeficiency virus (HIV) disease.	governed by the Federal Government and cannot be changed.	Furthermore there are restrictions on spending of the Block Grants.
Mental Health Block Grant	This grant assists states in carrying out their plans for providing comprehensive community mental health services. The CMHS block grant is also used to monitor the progress in implementing a comprehensive community based mental health system, and provide technical assistance to aid states in planning and implementing a comprehensive community based mental health system. A minimum of 5% of the block grant amount must be used for early intervention services for individuals with SMI or SED. Annual costs for services to children with a serious emotional disturbance must equal or exceed the amount spent during State Fiscal Year 1994.	DSAMH applies for the Substance Abuse and Mental Health block grants in a combined application now using the online portal WebGAS and the grant is set aside for States (it is not a competitive bid or a discretionary grant). Costs charged to the grant must be allowable. Spending decisions are determined by need, plan, and available resources. These block grants are noncompetitive, formula grants mandated by the U.S. Congress. Eligible entities must submit an annual application to demonstrate statutory and regulatory compliance in order to receive the formula-based funding. Hundreds of work hours by DSAMH staff, the Planning Council and others go into creating and reviewing the support and plans that are submitted to Substance Abuse and Mental Health Services Administration (SAMHSA) electronically. SAMHSA responds to our request with questions and suggestions, and there's a back and forth with our SAMHSA contact until the final version is approved by SAMHSA. This process is governed by the Federal Government and cannot be changed.	Spending plans have remained relatively constant with some variation based on a number of factors including the size of the grants, increases or decreases in state general fund and timing differences. The changes you may notice from year to year are more of a product of Federal Fiscal years not lining up with the State Fiscal Year. This creates timing differences where expenditures can be pulled or pushed to adjoining state fiscal years creating an impression of differences. No discretionary spending was listed because the grant specifies where the money must be spent once approved; there is no discretion in the areas in which it's spent or deviating from the plan unless prior written approval is obtained from SAMHSA. Furthermore there are restrictions on spending of the Block Grants.

Table 20

APPENDIX C: INTERIM APPROVAL PROCESS FOR FEDERAL GRANTS

Per UCA 63J-5-204, if agencies receive grants after the General Session approval process, these grants may be approved according to the following criteria:

Grants Subject to Approval by the Governor, the Judicial Council, or the State Board of Education

1. Less than \$1 million;
2. Add no permanent employees; or
3. Require a state match less than \$1 million.

Grants Subject to Approval by the Executive Appropriations Committee

4. More than \$1 million but less than \$10 million;
5. Add 1 to 10 permanent employees; or
6. Require a state match up to \$1 million.

Grants Subject to Approval by the full Legislature

1. More than \$10 million;
2. Add 11 or more permanent employees; or
3. Require a state match greater than \$1 million.